

10/564408

IAP15 Rec'd PCT/PTO 13 JAN 2006

Customer No. 22,852  
Attorney Docket No. 05168.0072

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re National Phase of International Application )  
No. PCT/JP2003/009172 )  
Inventors: Norio AKAMATSU et al. )  
Application No.: Not Yet Assigned )  
Filed: January 13, 2006 )  
For: CARBON NANOTUBE MANUFACTURING APPARATUS AND )  
METHOD FOR MANUFACTURING CARBON NANOTUBE )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Sir:

Pursuant to 37 C.F.R. §§1.56 and 1.97(b), applicants bring to the Examiner's attention the documents listed on attached Form PTO/SB/08 and cited in the international search report. Copies of the listed documents are attached. Applicants respectfully request that the Examiner consider the documents listed on attached Form PTO/SB/08 and indicate that they were considered by making an appropriate notation on this form.

This Information Disclosure Statement is being filed with the above-referenced application.

The following are listed on the accompanying PTO/SB/08 and are in a non-English language:

1. JP 2001-64775
2. JP 10-203810

3. JP 2003-147533
4. JP 2003-286017
5. JP 2002-141633

In lieu of a statement of relevance or the translation of the non-English documents, enclosed is an English-language international search report from the Japanese Patent Office in the PCT international application, from which this national phase U.S. application is derived, citing these documents and setting forth the relevance thereof.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents. Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

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If there is any fee due in connection with the filing of this Statement, please  
charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: January 13, 2006

By: 

Ernest F. Chapman  
Reg. No. 25,961

Enclosures  
EFC/FPD/tlm

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
				Application Number	Not Yet Assigned <b>10/564408</b>
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Filing Date	January 13, 2006
				First Named Inventor	Norio AKAMATSU
				Art Unit	Not Yet Assigned
				Examiner Name	Not Yet Assigned
				Attorney Docket Number	05168.0071
Sheet	1	of	1		

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		US-6,097,138	08-01-2000	NAKAMOTO	
		US-			
		US-			
		US-			
		US-			

**Note: Copies of the U.S. Patent Documents are not Required in IDS filed after October 21, 2004**

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		JP 2001-64775	03-13-2001	ULVAC JAPAN LTD		Abstract
		JP 10-203810	08-04-1998	CANON INC.		Abstract
		JP 2003-147533	05-21-2003	HANYANG HAK WON CO LTD		Abstract
		JP 2003-286017	10-07-2003	MITSUBISHI GAS CHEM CO INC		Abstract
		WO 00/073204 A1	12-07-2000	COMMONWEALTH SCIENTIFIC AND INDUSTRIAL RESEARCH ORGANIZATION		
		JP 2002-141633	05-17-2002	LUCENT TECHNOL INC		Abstract

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation <sup>6</sup>
		Ch EMMENEEGER et al., "Carbon nanotube synthesized on metallic substrates", Applied Surface Science, (2000), Vols. 162 to 163, pages 452 to 456.	

Examiner Signature		Date Considered	
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.